

12-23-99

LSI-99-299

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Art Unit:
Chintan Desai)
Serial No. [Not yet assigned])
Filed: December 21, 1999)
For: RECEIVER DESERIALIZER)
CIRCUIT FOR FRAMING PARALLEL)
DATA)

jc530 U.S. PTO
09/468746
12/21/99

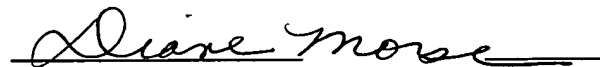
CERTIFICATE OF MAILING

"Express Mail" mailing label no: EL100016184US

Date of Deposit: December 21, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231


Diane Morse

TRANSMITTAL LETTER

Honorable Assistant Commissioner
for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled, "RECEIVER DESERIALIZER CIRCUIT FOR FRAMING PARALLEL DATA", filed on behalf of LSI Logic Corporation, assignee from inventor Chintan Desai, including Utility Patent Application Transmittal, 12 pages of specification, 5 pages of claims, 5 sheets of drawing figures, and 1 page of Abstract. Also enclosed herewith are the Combined Declaration and Power of Attorney, and Recordation Form Cover Sheet and Assignment.

The attorney's Docket Number is LSI-99-299.

Kindly address all communications regarding this application to:

Bruce R. Hoppenfeld
LSI Logic Corporation
Patent Law Department
1551 McCarthy Boulevard, M/S D-106
Milpitas, CA 95035

A check in the amount of \$760.00 is enclosed for the filing fee for a large entity, calculated as follows:


Basic Filing Fee:	\$ 760.00
No Additional Independent Claims:	\$.00
20 Additional Dependent Claims	\$.00
No <u>Multiple Dependent Claims:</u>	\$.00
Total Filing Fee:	\$ 760.00

A check in the amount of \$40.00 is also enclosed for the Assignment fee.

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 04-0025. A duplicate of this page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE

Dated: December 21, 1999


Nathan R. Rieth
Reg. No. 44,302

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
(408) 441-1100

COPY

LSI-99-299

The attorney's Docket Number is LSI-99-299.

Kindly address all communications regarding this application to:

Bruce R. Hoppenfeld
LSI Logic Corporation
Patent Law Department
1551 McCarthy Boulevard, M/S D-106
Milpitas, CA 95035



A check in the amount of \$760.00 is enclosed for the filing fee for a large entity, calculated as follows:

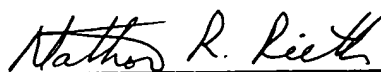
Basic Filing Fee:	\$ 760.00
No Additional Independent Claims:	\$.00
20 Additional Dependent Claims	\$.00
No <u>Multiple Dependent Claims:</u>	\$.00
Total Filing Fee:	\$ 760.00

A check in the amount of \$40.00 is also enclosed for the Assignment fee.

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 04-0025. A duplicate of this page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE

Dated: December 21, 1999


Nathan R. Rieth
Reg. No. 44,302

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
(408) 441-1100

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 800.00

Complete if Known

Application Number	
Filing Date	December 21, 1999
First Named Inventor	Chintan Desai
Examiner Name -	
Group / Art Unit	
Attorney Docket No.	LSI-99-299

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 04-0025
Deposit Account Name: D'Alessandro & Ritchie

☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
101	201	Utility filing fee	760
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	
SUBTOTAL (1)			760

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	20** = 0	18	0
3	3** = 0	78	0
Multiple Dependent		0	0

**or number previously paid, if greater; For Reissues, see below

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
103	203	Claims in excess of 20	
102	202	Independent claims in excess of 3	
104	204	Multiple dependent claim, if not paid	
109	209	** Reissue independent claims over original patent	
110	210	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
105	205	Surcharge - late filing fee or oath	
127	227	Surcharge - late provisional filing fee or cover sheet	
139	239	Non-English specification	
147	247	For filing a request for reexamination	
112	212	Requesting publication of SIR prior to Examiner action	
113	213	Requesting publication of SIR after Examiner action	
115	215	Extension for reply within first month	
116	216	Extension for reply within second month	
117	217	Extension for reply within third month	
118	218	Extension for reply within fourth month	
128	228	Extension for reply within fifth month	
119	219	Notice of Appeal	
120	220	Filing a brief in support of an appeal	
121	221	Request for oral hearing	
138	238	Petition to institute a public use proceeding	
140	240	Petition to revive - unavoidable	
141	241	Petition to revive - unintentional	
142	242	Utility issue fee (or reissue)	
143	243	Design issue fee	
144	244	Plant issue fee	
122	222	Petitions to the Commissioner	
123	223	Petitions related to provisional applications	
126	226	Submission of Information Disclosure Stmt	
581	281	Recording each patent assignment per property (times number of properties)	40
148	248	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			
SUBTOTAL (3)			40

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name: Nathan R. Rieth

Signature: *Nathan R. Rieth*

Date: 12/21/99

Complete (if applicable)

Reg. Number: 44,302

Deposit Account User ID

Please type a plus sign (+) inside this box → ☒

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. LSI-99-299
First Inventor or Application Identifier Chintan Desai
Title Receiver Deserializer Circuit For ...
Express Mail Label No. EL100016184US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

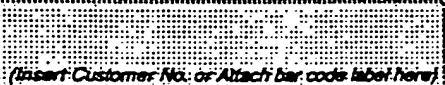
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label



or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Bruce R. Hoppenfeld				
Address	LSI Logic Corporation, Patent Law Department				
	1551 McCarthy Boulevard				
City	Milpitas	State	CA	Zip Code	95035
Country	USA	Telephone	408-433-6100	Fax	408-433-7770

Name (Print/Type)	Nathan R. Rieth	Registration No. (Attorney/Agent)	44,302
Signature	<i>Nathan R. Rieth</i>	Date	12/21/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.